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CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. §1.8

I hereby certify that this correspondence, pursuant to 37 C.F.R. §1.8, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, MAIL STOP AMENDMENT, PO Box 1450, Alexandria, VA 22313-1450, on:

DATE.

10/28/2004

BY. Julie A. Eslick

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§1.97-1.98

PATENT APPLICATION

Applicant(s):

Masahiro ISHIDA, et al.

10/711,698

Serial No.: 10/711,

Group Art Unit: TITLE:

TBD

SEMICONDUCTOR DEVICE AND SEMICONDUCTOR SUBSTRATE.

AND METHOD OF FABRICATING

THE SAME

Docket No.:

28569.4136

Filing Date: Confirmation No.: September 30, 2004

Examiner:

5697 TBD

Commissioner for Patents MAIL STOP AMENDMENT PO Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, the reference or references listed and identified on the attached PTO-1449 based form are being submitted here for consideration by the Examiner. Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in the prior Parent Application, U.S. Serial No. 09/395,261, which application was filed on September 14, 1999, and issued as U.S. Patent No. 6,617,182 on September 9, 2003, which is relied upon for an earlier filing date under 35 U.S.C. §120. Therefore, according to 37 C.F.R. §1.98(d), a copy of the cited references are not required.

The reference or references are being cited only in the interest of candor and without any admission that they constitute statutory prior art or contain matter which anticipates the invention

Serial No.: 10/711,698

Title: SEMICONDUCTOR DEVICE AND SEMICONDUCTOR

SUBSTRATE, AND METHOD OF FABRICATING

THE SAME

or which would render the same obvious, either singly or in combination, to a person of ordinary

skill in the art.

This Information Disclosure Statement (IDS) is being filed under 37 C.F.R. §1.97(b)(1)

as each item of information contained in this disclosure is being submitted within three months

of the filing of this application, as such no fee should be required. If Applicant has overlooked

any fees, however, the Commissioner is hereby authorized to debit Deposit Account No. 19-2814

for the same. A duplicate copy of this Information Disclosure Statement is enclosed for this

purpose.

If there are any questions concerning this IDS, the Examiner is requested to contact the

undersigned. If it is determined that this IDS has been filed under the wrong rule, the United

States Patent and Trademark Office is requested to consider this IDS under the proper rule (with

a petition if necessary) and charge the appropriate fee to Deposit Account No. 19-2814.

Respectfully submitted,

Date: 10-28-04

Michael K. Kelly Reg. No. 32,848

2,848 Req. No

Enclosures:

PTO-1449

Patent copies

SNELL & WILMER L.L.P.

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INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Masahiro ISHIDA, et al. FILING September 30, 2004 TBA U.S. PATENT DOCUMENTS EXAMINER NITIAL DOCUMENT NUMBER DATE ATTY DOCKET NO. 28569.4136 10/711,698 10/711,698 TBA CLASS SUBCLASS FILING DATE IF APPROPRIATE

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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